

# Problem Set 3

MATH 777, Spring 2010, Mohr

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## 1 Problem 2

**Theorem 1.1.** (*Erdős-Szekeres-Klein*) *For every  $k$ , there is an  $n$  so that any  $n$  points in the plane with no three collinear contain the vertices of a convex  $k$ -gon.*

*Proof.* We first define a coloring of  $\binom{[n]}{3}$  (the value of  $n$  will be determined later). Given a 3-subset  $\{x, y, z\}$  with  $x < y < z$ , color the subset “clockwise” if passing from  $x$  through  $y$  to  $z$  via line segments results in a clockwise motion. Otherwise, color the subset “counterclockwise” (these colors suffice, as no three points are collinear). By Ramsey, take  $n$  large enough to ensure a monochromatic  $k$ -subset  $S$  of  $[n]$  (without loss of generality, let it be colored “clockwise”).

Let  $X$  denote the convex hull of  $S$ . We claim that every point of  $S$  lies on the boundary of  $X$ , thus establishing the theorem. Suppose, to the contrary, that some element  $a \in S$  lies on the interior of  $X$ . Let  $b$  denote the successor in  $S$  of  $a$  and let  $c$  be any other element of  $S$ . Divide the plane into two half-planes by the line passing through  $a$  and  $b$ . Now, if  $c > b$ ,  $c$  must lie in a particular half-plane (call it the right half-plane), since the motion from  $a$  through  $b$  to  $c$  must be clockwise. Similarly, if  $c < b$ , then  $c < a$ , and the clockwise motion from  $c$  through  $a$  to  $b$  also places  $c$  in the right half-plane. Since  $c$  was arbitrary, we conclude that the left half-plane is empty, and so  $a$  lies on the boundary of  $X$ . Similarly, the fact that  $a$  was chosen arbitrarily implies that all points in  $S$  lie on the boundary of  $X$ , and the theorem follows.  $\square$

## 2 Problem 3

**Proposition 2.1.** *Show that the “exceptional pairs” part of the Szemerédi Regularity Lemma is unavoidable. In other words, show that the following*

statement is false:  $\forall \epsilon > 0, m > 0, \exists N, M$  so that, if  $G$  is a graph on  $n \geq N$  vertices,  $\exists k$  and there exists a partition  $V_0, \dots, V_k$  of  $V(G)$  into blocks so that  $|V_i| = |V_j|$  for all  $i, j > 0$ ,  $|V_0| \leq \epsilon n$ , all pairs  $(V_i, V_j)$  with  $i \neq j$  are  $\epsilon$ -regular, and  $m \leq k \leq M$ .

*Proof.* (Idea) Let  $G$  be the half-graph and denote  $V(G)$  by  $[2] \times [n]$ . Partition the  $V(G)$  into  $V_1, \dots, V_k$  all of equal size and suppose that they are all  $\epsilon$ -regular. For small  $\epsilon$ , one can find  $V_i$  and  $V_j$  with

1.  $|V_i \cap (\{1\} \times [n])|$  and  $|V_j \cap (\{2\} \times [n])|$  large compared to  $\epsilon|V_i| = \epsilon|V_j|$ , and
2.  $V_i$  and  $V_j$  have significant ‘‘overlap’’ (that is, there are many vertices of  $|V_i|$  that are lower than the highest vertices of  $|V_j|$ , or vice versa)

With these  $V_i$  and  $V_j$  in hand, one can bound  $d(V_i, V_j)$ , but demonstrate areas of either zero density (if  $V_i$  is generally higher than  $V_j$ ) or high density (if  $V_i$  is generally lower than  $V_j$ ), contrary to our assumption that all pairs are  $\epsilon$ -regular.  $\square$

### 3 Problem 4

**Proposition 3.1.** *If  $G$  is a graph with no isolated vertices, then*

$$R(P^2, G) = \begin{cases} |G| & \text{if } \overline{G} \text{ has a perfect matching} \\ 2|G| - 2\alpha(L(\overline{G})) - 1 & \text{otherwise.} \end{cases}$$

(The quantity  $\alpha(L(H))$  is called the matching number of  $H$  and is often denoted  $\nu(H)$ .)

*Proof.* Consider first the case where  $\overline{G}$  has a perfect matching and let  $n$  denote  $|G|$ . Since  $\overline{G}$  has a perfect matching, we can partition  $V(G)$  into distinct unordered pairs  $\{v_i, w_i\}$  such that, for all  $i$ ,  $v_i$  is not adjacent to  $w_i$ .

Evidently,  $R(P^2, G) \geq n$ . We show now that every graph  $H$  on  $n$  vertices with  $P^2 \not\subseteq H$  has  $G \subseteq \overline{H}$ . Since  $P^2 \not\subseteq H$ ,  $H$  has no incident edges. Hence we may assume, without loss of generality, that  $H$  is a collection of  $\frac{n}{2}$  disjoint edges. Now, observe that  $\overline{H}$  is the complete graph on  $n$  vertices with this collection of  $\frac{n}{2}$  edges removed. By our observation about  $V(G)$ , the endpoints of these removed edges correspond precisely to the  $\frac{n}{2}$  nonadjacent vertices in  $G$ , and so  $G \subseteq \overline{H}$ , as desired.

Consider now the case where  $\overline{G}$  does not have a perfect matching. Let  $k$  denote the size of a maximum matching in  $\overline{G}$ . Since the matching is not

perfect, there are  $k$  unordered pairs of nonadjacent vertices  $\{v_i, w_i\}$  with  $v_i, w_i \in V(G)$ , but this does not comprise all of  $V(G)$ . Observe also that the induced subgraph  $G[V(G) \setminus \bigcup_{i=1}^k \{v_i, w_i\}]$  is a clique of size  $n - 2k$  (if not, then  $k$  is not the size of the largest clique in  $\overline{G}$ ). Let now  $H$  be a graph on  $2(n - k - 1)$  vertices with  $P^2 \not\subseteq H$ . As before, we assume, without loss of generality, that  $H$  is a collection of  $n - k - 1$  disjoint edges. Now,  $k$  of these edges account for the  $k$  unmatched edges in  $\overline{H}$  as required by  $G$ . This leaves  $n - 2k - 1$  disjoint edges in  $H$ , but this is too small to accommodate an  $(n - 2k)$ -clique in  $\overline{H}$ , which is also required by  $G$ . Hence,  $R(P^2, G) < 2(n - k - 1)$ . By this same construction, however, we see that adding even a single vertex (which must necessarily be disjoint from the rest of  $H$  since  $P^2 \not\subseteq H$ ) does allow for the required  $(n - 2k)$ -clique. Hence,  $R(P^2, G) = 2n - 2k - 1$ , as desired.  $\square$

## 4 Problem 5

**Proposition 4.1.** *For every  $k \in \mathbb{N}$ , there is an  $n \in \mathbb{N}$  such that, for every partition of  $\{1, \dots, n\}$  into  $k$  sets, at least one of the subsets contains numbers  $x, y, z$  such that  $x + y = z$ .*

*Proof.* Let  $k$  be given and let  $c : [n] \rightarrow [k]$  be any  $k$ -coloring of  $[n]$  (the value of  $n$  will be determined later). Define now  $f : \binom{[n]}{2} \rightarrow [k]$  via  $f(\{i, j\}) = c(|i - j|)$ . By Ramsey, we will take  $n$  to be large enough so that  $f$  admits a monochromatic 3-subset  $\{a, b, c\}$  of  $\binom{[n]}{2}$ . Without loss of generality, suppose  $a < b < c$ . Let now  $x = b - a$ ,  $y = c - b$ , and  $z = c - a$ . Since  $\{a, b, c\}$  is monochromatic under  $f$ , we have  $f(\{a, b\}) = f(\{b, c\}) = f(\{a, c\})$ , but this is precisely  $c(|b - a|) = c(|b - c|) = c(|a - c|)$ . Hence,  $c(x) = c(y) = c(z)$ , and so  $x, y$ , and  $z$  indeed belong to the same subset of  $[n]$  under  $c$ . Finally,

$$\begin{aligned} x + y &= (b - a) + (c - b) \\ &= c - a \\ &= z, \end{aligned}$$

as desired.  $\square$

## 5 Problem 6

Let  $(X, \leq)$  be a totally ordered set. Define a graph  $G$  by letting  $V(G) = \binom{X}{2}$ , and

$$E(G) = \left\{ \{\{x, y\}, \{y, z\}\} \in \binom{V(G)}{2} : x < y < z \right\}.$$

**Proposition 5.1.** *The graph  $G$  contains no triangle.*

*Proof.* Let  $\{x_1, y_1\}$ ,  $\{x_2, y_2\}$ , and  $\{x_3, y_3\}$  be vertices of  $G$ . Without loss of generality, let  $\{x_1, y_1\}$  be adjacent to  $\{x_2, y_2\}$  by satisfying  $x_1 < y_1 = x_2 < y_2$ . We investigate the possibility that  $\{x_2, y_2\}$  is adjacent to  $\{x_3, y_3\}$  and  $\{x_3, y_3\}$  is adjacent to  $\{x_1, y_1\}$  simultaneously by considering four cases.

Case 1  $x_2 < y_2 = x_3 < y_3$  and  $x_1 < y_1 = x_3 < y_3$

We have the inconsistent statement  $y_1 = x_2 < y_2 = x_3 = y_1$ .

Case 2  $x_2 < y_2 = x_3 < y_3$  and  $x_3 < y_3 = x_1 < y_1$

We have the inconsistent statement  $x_1 < y_1 = x_2 < y_2 = x_3 < y_3 = x_1$ .

Case 3  $x_3 < y_3 = x_2 < y_2$  and  $x_1 < y_1 = x_3 < y_3$

We have the inconsistent statement  $y_1 = x_3 < y_3 = x_2 = y_1$ .

Case 4  $x_3 < y_3 = x_2 < y_2$  and  $x_3 < y_3 = x_1 < y_1$

We have the inconsistent statement  $x_1 < y_1 = x_2 = y_3 = x_1$ .

Arriving at a contradiction in all cases, we conclude that there can be no triangle in  $G$ .  $\square$

**Proposition 5.2.** *For every  $k \in \mathbb{N}$ , there exists an  $n \in \mathbb{N}$  so that, if  $|X| \geq n$ , then  $\chi(G) \geq k$ .*

*Proof.* Let  $k \geq 1$  be given. The generalized Ramsey theorem states that there is an  $n$  such that every  $n$ -set  $X$  has a monochromatic 3-subset with respect to any  $k$  coloring of  $\binom{V}{2}$  (that is,  $R(2, k, 3)$  exists). In particular, let  $X$  be a totally ordered  $n$ -set, and define  $G$  as above using this  $X$ . In the context of  $G$ , the fact that  $R(2, k, 3)$  exists asserts that any  $k$ -coloring of the vertices of  $G$  admits a 3-subset  $\{x, y, z\}$  of  $X$  (without loss of generality,  $x < y < z$ ) such that the vertices  $\{x, y\}$ ,  $\{x, z\}$ , and  $\{y, z\}$  all receive the same color. Now,  $x < y = y < z$ , and so  $\{x, y\}$  is adjacent to  $\{y, z\}$ . Hence, there is no proper  $k$ -coloring of  $G$ . Since  $k$  was chosen arbitrarily, we conclude that, for all  $k$ , there exists an  $n$  such that  $\chi(G) \geq k$  whenever  $|X| \geq n$ , as desired.  $\square$

## 6 Problem 7

**Definition 6.1.** *A family of sets is called a  $\Delta$ -system if every two of the sets have the same intersection.*

**Proposition 6.2.** *Every infinite family of sets of the same finite cardinality contains an infinite  $\Delta$ -system.*

*Proof.* Let  $\mathcal{F}$  be an infinite family of sets of the same finite cardinality  $n$ . Define a coloring  $c: \binom{\mathcal{F}}{2} \rightarrow [n+1]$  where  $c(\{X, Y\}) = |X \cap Y|$ . By Ramsey, this coloring admits an infinite monochromatic subfamily  $\mathcal{G}$  (colored, say,  $k$ ) of  $\mathcal{F}$ . In the language of sets, this means that for all  $X, Y \in \mathcal{G}$ ,  $|X \cap Y| = k$ . Fix now some  $A \in \mathcal{G}$ . Partition the infinite family  $\mathcal{G} \setminus \{A\}$  into  $\binom{n}{k}$  blocks where sets  $X$  and  $Y$  belong to the same block whenever  $A \cap X = A \cap Y$ . By the Pigeonhole Principle, one of the blocks of this partition contains an infinite subcollection  $\mathcal{H}$  of  $\mathcal{G}$ . Now, for all  $X, Y \in \mathcal{H}$ ,  $A \cap X = A \cap Y$  and  $|A \cap X| = |A \cap Y| = |X \cap Y| = k$ . Hence,  $(X \cap Y) \setminus A = \emptyset$ , and so  $X \cap Y = A \cap X = A \cap Y$ . In other words, any two pair of sets contained in  $\mathcal{H}$  have the same intersection, and so  $\mathcal{H}$  is the desired infinite  $\Delta$ -system.  $\square$

## 7 Problem 8

**Proposition 7.1.** *Let  $m, n \in \mathbb{N}$ , and assume that  $m-1$  divides  $n-1$ . Every tree  $T$  of order  $m$  satisfies  $R(T, K_{1,n}) = m + n - 1$ .*

*Proof.* We show first that  $R(T, K_{1,n}) \geq m + n - 1$ . Consider the graph  $G$  constructed by taking  $\frac{n-1}{m-1} + 1$  disjoint copies of  $K_{m-1}$ . As no connected component contains  $m$  vertices,  $G$  cannot contain  $T$  as a subgraph. To see that  $\overline{G}$  contains no  $K_{1,n}$ , choose any vertex  $v \in G$  to be the center of the star. To find a  $K_{1,n}$  in  $\overline{G}$ , we need to find  $n$  vertices that are not adjacent to  $v$ . Now,  $v$  is adjacent to everything in its own component, which leaves only  $(m-1) \cdot \frac{n-1}{m-1} = n-1$  vertices in  $G$ . Hence,  $T \not\subseteq G$  and  $K_{1,n} \not\subseteq \overline{G}$ , and so  $R(T, K_{1,n}) > (\frac{n-1}{m-1} + 1)(m-1) = m + n - 2$  (i.e.  $R(T, K_{1,n}) > (\frac{n-1}{m-1} + 1)(m-1) = m + n - 1$ ).

Let  $G$  now denote any graph on  $m + n - 1$  vertices and suppose that  $K_{1,n} \not\subseteq \overline{G}$ . Avoiding a  $K_{1,n}$  in  $\overline{G}$  means that for any vertex  $v \in G$ , there are at most  $n-1$  vertices *not* in the neighborhood of  $v$ . Put another way,  $v$  has at least  $(m+n-2) - (n-1) = m-1$  neighbors. As this bound holds for all vertices of  $G$ , we see that  $\delta(G) \geq m-1$ . Since  $T$  is a tree on  $m$  vertices, we conclude that  $T \subseteq G$ , as desired.  $\square$

## 8 Problem 9

**Proposition 8.1.** *For every  $c \in \mathbb{N}$ ,*

$$2^c < R(2, c, 3) \leq 3c!.$$

*Proof.* For ease of notation, we consider partitions of the set  $[n]$ .

We first establish the lower bound by induction on  $c$ .

For  $c = 1$ , we edge-color  $K^2$  using one color, which certainly admits no monochromatic triangle. Hence,  $2 < R(2, 1, 3)$ .

Suppose now that  $2^k < R(2, k, 3)$ . Observe that  $K^{2^{k+1}} = K^{2^k} * K^{2^k}$ . By the inductive hypothesis, we can color the edges of each  $K^{2^k}$  using only  $k$  colors and avoid a monochromatic triangle. For the remaining edges (those edges connecting vertices between the  $K^{2^k}$ 's), assign the color  $k + 1$ . Evidently, this coloring admits no monochromatic triangle, as the edges lying between the  $K^{2^k}$ 's are of a completely different color than those within each of them. Hence,  $2^{k+1} < R(2, k + 1, 3)$ .

Next, we establish the upper bound, again by induction on  $c$ .

For  $c = 1$ , observe that a 1-edge-coloring of  $K^3$  must admit a monochromatic triangle. Hence,  $R(2, 1, 3) \leq 3$ .

Suppose now that  $R(2, k, 3) \leq 3k!$ . Let  $v$  be a vertex of  $K^{3(k+1)!}$ . Observe that there are  $3(k+1)! - 1$  edges incident with  $v$  and they are colored using  $k + 1$  colors. By the Pigeonhole Principle, some color (say, red) is used on at least  $3k!$  of these edges. Let  $G$  be the induced subgraph on all vertices that are endpoints of these red edges (this includes  $v$ ), and let  $H$  be the induced subgraph on these same vertices with the exception of  $v$ . We consider two cases. If the edges of  $H$  are colored using only  $k$  colors, then the induction hypothesis guarantees that  $H$  contains a monochromatic triangle (since  $|H| \geq 3k!$ ). Otherwise, the edges of  $H$  make use of all  $k + 1$  colors. In particular, there is some edge  $uw$  that is colored red, and so the cycle  $vuwv$  is a monochromatic triangle in  $G$ . In either case, we conclude that  $R(2, k + 1, 3) \leq 3(k + 1)!$ .  $\square$

**Proposition 8.2.**

$$R(2, 3, 3) = 17$$

*Proof.* Observe first that  $R(2, 3, 3) > 16$ , since there exists a 3-edge-coloring of  $K^{16}$  containing no monochromatic triangle (see figure). Consider now any 3-edge-coloring of  $K^n$  for  $n \geq 17$  in which we attempt (unsuccessfully) to avoid a monochromatic triangle. For any fixed vertex  $v \in V(K^n)$ ,  $v$  has  $n - 1$  incident edges, which is at least 16. By the Pigeonhole Principle, one of the color classes (say, red) contains at least 6 edges. Denote by  $U$  the subset of  $V$  containing the red neighbors of  $v$  and consider the induced subgraph  $H$  of  $K^n$  on  $U$ . It cannot be that  $H$  contains a red edge  $uw$ , as this would form the red triangle  $vuwv$ . Hence, the edges of  $U$  are colored with two colors. However,  $|H| \geq R(3) = 6$ , and so any 2-edge-coloring of

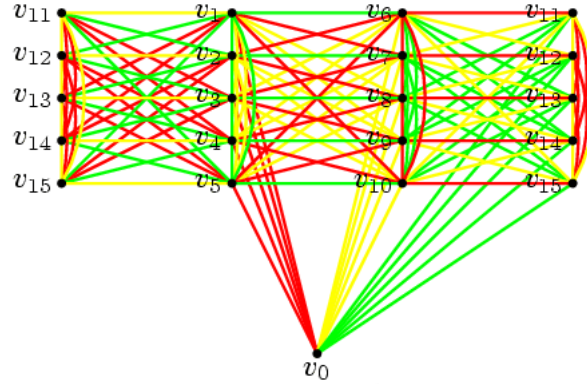


Figure 1: A 3-edge-coloring of  $K^{16}$  having no monochromatic triangle.

$H$  must admit a monochromatic triangle. We conclude, therefore, that no 3-edge-coloring of  $K^n$  for  $n \geq 17$  can avoid a monochromatic triangle, and so  $R(2, 3, 3) = 17$ .

□

## 9 Problem 10

**Proposition 9.1.** *Given any two graphs  $H_1$  and  $H_2$ , there exists a graph  $G = G(H_1, H_2)$  such that, for every vertex-coloring of  $G$  with colors 1 and 2,  $G$  has either  $H_1$  colored 1 or  $H_2$  colored 2 as an induced subgraph.*

*Proof.* We claim that the desired graph is  $G = H_1[V(H_1) \rightarrow H_2]$ . For ease of exposition, let  $V(H_1) = [n_1]$  and  $V(H_2) = [n_2]$ , so that  $V(G) = [n_1] \times [n_2]$ . For each  $k \in [n_1]$ , we call the subset  $\{k\} \times [n_2]$  of  $V(G)$  the  $k^{\text{th}}$  copy of  $H_2$  in  $G$ .

Now, given any vertex-coloring of  $G$  with colors 1 and 2, we consider two cases. If any copy of  $H_2$  has all of its vertices colored 2, then we are done (this copy is an induced  $H_2$  in  $G$  with all its vertices colored 2). Otherwise, every copy of  $H_2$  in  $G$  has at least one vertex colored 1. For each  $i \in [n_1]$ , choose a single vertex  $v_i$  colored 1 from the  $i^{\text{th}}$  copy of  $H_2$  in  $G$ . The graph  $G[\{v_i \mid i \in [n_1]\}]$  is an induced  $H_1$  in  $G$  with all its vertices colored 1. Indeed, our construction gives an edge between any vertex in the  $i^{\text{th}}$  copy of  $H_2$  and any vertex in the  $j^{\text{th}}$  copy of  $H_2$  if and only if  $i$  is adjacent to  $j$  in  $H_1$ . In particular,  $v_i$  is adjacent to  $v_j$  if and only if  $i$  is adjacent to  $j$  in  $H_1$ , as desired. □